

CUSTODY

As one of the world's largest custodians, CACEIS has a long history of providing reliable local and global custody services to many diversified clients, including asset managers, institutional investors, banks, broker dealers and corporates.

CHALLENGES AND OPPORTUNITIES In today's market environment, choosing a reputable custodian, with solid financial backing, secure and highly-controlled processes and therefore the ability to ensure the effective safekeeping of clients' assets, is of paramount importance.

BESPOKE SERVICE DESIGN Our services are designed to incorporate a high level of flexibility and the emphasis we put on gaining a thorough understanding of your business practices combined with our recognised expertise in custody ensure our solutions are a perfect fit for your unique and changing requirements.

A TOP
RANKING
INTERNATIONAL
PLAYER

CUSTODIAN

No. 2
IN EUROPE

CUSTODIAN

No. 7
WORLDWIDE

> SECURE AND HIGHLY-CONTROLLED ENVIRONMENT

ASSET SAFETY

- CACEIS's financial strength and stability guaranteed by a solid shareholder, Crédit Agricole Group
- Robust risk management mechanism covering operational, credit and financial risks
- Prudent independent sub-custodian selection in full compliance with regulations, with close monitoring and regular review of our network, through on-site due diligence and annual assessments

HIGH-QUALITY OF CUSTODY PROCESSES

- Constant focus on quality recognised by industry: ISO 9001 certification, SSAE 16 & ISAE 3402 Type II audits
- Fully-controlled automated processes, ensuring high reliability and reduced operational risks
- Continuous risk assessment and improvement of operational procedures
- High level of STP at all steps and full SWIFT capability
- Advanced and secure IT
- Flexible communication platform with secure data exchange and high information exchange flow capacity

> GROUP-WIDE EFFICIENCY AND SERVICE INTEGRATION

EFFICIENT SET-UP PROVIDING CLIENTS WITH THE OPTIMAL SERVICE DELIVERY

- Economies of scale achieved through technology, automation and close partnership
- Single point of access for custody services, wherever the activities of our clients are located
- European hub with common IT systems and qualified staff and best practices as standard
- T2S ongoing set up
- Direct links with Clearstream Banking and Euroclear Bank, as well as a worldwide custody network covering more than 80 countries, giving our clients access to numerous financial markets around the world

CLIENT PROXIMITY AND DEDICATED EXPERTS TO SERVE YOU

- Flexible and customised solutions designed to meet the needs of our various clients
- Highly experienced staff with in-depth knowledge of the market
- No offshoring used, local presence essential for relationship management
- Commitment to upholding quality of service in terms of responsiveness and accuracy

ONLINE REPORTING SOLUTIONS

- Easily accessible reports on cash and securities accounts through OLIS interactive web portal
- Broad range of reports on portfolio holdings and transactions available
- Key market information provided

PROACTIVE SUPPORT FOR NEW CLIENTS, MARKETS OR REGULATORY REQUIREMENTS

- Active regulatory & fiscal watch and participation in main technical industry committees
- Continuous development of products and services according to new clients, market or regulatory requirements (notably in the framework of UCITS IV, AIFMD and FATCA regulations)
- US QI (Qualified Intermediary) status and FFI (Foreign Financial Institution) status in accordance with future FATCA rules

> A WIDE RANGE OF POWERFUL CUSTODY SERVICES

AN EXPERIENCED PROVIDER OF LOCAL AND GLOBAL CUSTODY SERVICES

- Safekeeping of assets, including physical securities
- Trade processing and settlement
- Income collection
- Corporate action processing
- Proxy voting
- Tax reclaim for both non-resident and domestic clients
- Mandatory reporting handling
- FX and liquidity management services
- Web-based information delivery

WHITE LABELLING / TRANSACTION BANKING SERVICES

- Management of securities accounts, instructions and custody in white labelling for institutional clients and banks
- Management of cash accounts and reporting in white labelling for institutional clients
- "Abgeltungssteuer" related services in Germany for both retail and institutional clients

Leading Technology

All our services run on cutting-edge, industry-leading technology, which we have rolled out across our global network of companies. In doing so we ensure clients receive the same high standard of servicing around the world.

Asset Protection and Security

Asset protection is a key concept that underpins our entire business philosophy, and is upheld through rigorous controls and ongoing monitoring of all types of risk. And by working with CACEIS, you know you are backed by one of the world's most stable banks, Crédit Agricole.

Relationship Management

Experience shows that no two clients are alike, which is why we devote ourselves to understanding your business and your goals. Building a close business relationship and customising our flexible services to company's needs, ensures a close strategic fit between your company and our services at all times.

Regulations and Best practices

The close cooperation between all our entities ensures that best practices and information on local and international regulatory developments are spread rapidly throughout the group, so every client benefits from our combined knowledge and skills, no matter where they operate.

For more information on CACEIS and our products please visit www.caceis.com

